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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/731,421	12/09/2003	Mohan Krishnan	279.650US1	3925
21186 7590 03/18/2009 SCHWEGMAN, LUNDBERG & WOESSNER, P.A. P.O. BOX 2938			EXAMINER	
			STOKLOSA, JOSEPH A	
MINNEAPOLIS, MN 55402			ART UNIT	PAPER NUMBER
			3762	
			MAIL DATE	DELIVERY MODE
			03/18/2009	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

	Application No.	Applicant(s)				
Office Action Occurrence	10/731,421	KRISHNAN ET AL.				
Office Action Summary	Examiner	Art Unit				
	JOSEPH STOKLOSA	3762				
The MAILING DATE of this communication app Period for Reply	ears on the cover sheet with the c	orrespondence address				
A SHORTENED STATUTORY PERIOD FOR REPLY WHICHEVER IS LONGER, FROM THE MAILING DA  - Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period w  - Failure to reply within the set or extended period for reply will, by statute, Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	ATE OF THIS COMMUNICATION 36(a). In no event, however, may a reply be time will apply and will expire SIX (6) MONTHS from cause the application to become ABANDONE	lely filed the mailing date of this communication. (35 U.S.C. § 133).				
Status						
1) Responsive to communication(s) filed on 15 De	ecember 2008					
• • • • • • • • • • • • • • • • • • • •	action is non-final.					
<i>,</i> —	Since this application is in condition for allowance except for formal matters, prosecution as to the merits is					
	closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213.					
Disposition of Claims						
4)⊠ Claim(s) <u>1,5,7 and 9-18</u> is/are pending in the application.						
• • • • • • • • • • • • • • • • • • • •	4a) Of the above claim(s) is/are withdrawn from consideration.					
·						
5) Claim(s) is/are allowed.						
6) Claim(s) <u>1,5,7 and 9-18</u> is/are rejected.						
7) Claim(s) is/are objected to.						
8) Claim(s) are subject to restriction and/or election requirement.						
Application Papers						
9)☐ The specification is objected to by the Examine	r.					
10)☐ The drawing(s) filed on is/are: a)☐ acce	10)☐ The drawing(s) filed on is/are: a)☐ accepted or b)☐ objected to by the Examiner.					
Applicant may not request that any objection to the	drawing(s) be held in abeyance. See	e 37 CFR 1.85(a).				
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).						
11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.						
Priority under 35 U.S.C. § 119						
<ul> <li>12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).</li> <li>a) All b) Some * c) None of:</li> <li>1. Certified copies of the priority documents have been received.</li> <li>2. Certified copies of the priority documents have been received in Application No</li> <li>3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).</li> <li>* See the attached detailed Office action for a list of the certified copies not received.</li> </ul>						
Attachment(s)	4) ☐ Interview Summary	(PTO 442)				
Notice of References Cited (PTO-892)     Notice of Draftsperson's Patent Drawing Review (PTO-948)	(PTO-413) ite					
3) Information Disclosure Statement(s) (PTO/SB/08)  5) Notice of Informal Patent Application						
Paper No(s)/Mail Date 6) Other:						

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### **DETAILED ACTION**

## Response to Arguments

1. Applicant's arguments, see Appeal Brief Arguments, filed 12/15/2008, with respect to Final Rejection 6/13/2008 have been fully considered and are persuasive. The finality of Final Rejection 6/13/2008 has been withdrawn; however, this action is made Final since they are based on the amended claims filed 1/03/2008 which were amended due to the non-final rejection of 7/3/2007.

## Claim Rejections - 35 USC § 112

- 2. The following is a quotation of the second paragraph of 35 U.S.C. 112:

  The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.
- 3. Claims 1, 5, 7, 9-10, 11-18 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.
- 4. Claims 1, 11, and 17 are unclear as to what applicant considers a clot. Claim 1, 11, and 17 appear to be contradictory in nature, in that the claim recites the limitation that a layer of blood cells are formed on the surfaces, which examiner considers to be a clot in and of itself. Where applicant acts as his or her own lexicographer to specifically define a term of a claim contrary to its ordinary meaning, the written description must clearly redefine the claim term and set forth the uncommon definition so as to put one reasonably skilled in the art on notice that the applicant intended to so redefine that claim term. *Process Control Corp. v. HydReclaim Corp.*, 190 F.3d 1350, 1357, 52 USPQ2d 1029, 1033 (Fed. Cir. 1999). Appropriate correction is required.

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5. Applicant and the assignee of this application are required under 37 CFR 1.105 to provide the following information that the examiner has determined is reasonably necessary to the examination of this application.

6. In response to this requirement, please provide answers to each of the following interrogatories eliciting factual information:

What applicant considers a clot?

How does the formation of a layer of blood cells differentiate between a clot?

- 7. The information is required to document the level of skill and knowledge in the art of medical devices.
- 8. This requirement is an attachment of the enclosed Office action. A complete reply to the enclosed Office action must include a complete reply to this requirement. The time period for reply to this requirement coincides with the time period for reply to the enclosed Office action.

# Claim Rejections - 35 USC § 103

- 9. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
  - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 10. This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein

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were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

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- 11. Claims 1, 5, 7, 9-18are rejected under 35 U.S.C. 103(a) as being unpatentable over Helland (US 4,033,357) herein after Helland 357 in view of Helland (US 5,318,572) herein after Helland 572.
- 12. Helland 357 discloses the invention as claimed including a lead body extending from a proximal to distal end (e.g. Fig. 1), a ring electrode (element 14), and the lead being antithrombogenic (e.g. Col. 4, line 64).
- 13. Helland 357 fails to explicitly teach the use of a textured coating of titanium microspheres to form a layer of blood cells around the lead body and electrode. Helland 572 teaches that it is known in the art to use a textured coating of titanium microspheres between 75-100um to form a layer of blood cells around the lead body electrode as set forth in e.g., column 5, lines 62 -66; column 10, lines 19-20, Col. 6, line 16; for providing the predictable results of immobilizing and stabilizing the electrode and lead body upon implantation. It would have been obvious to one having ordinary skill in the art at the time the invention was made to modify the system as taught by Helland 357 with use of a textured coating of titanium microspheres between 75-100um to form a layer of blood cells around the electrode and lead body for providing the predictable results of immobilizing and stabilizing the electrode and lead body upon implantation.

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14. With regard to claim 9, Helland 357 does not disclose using an eluting substance for minimizing clotting.

15. With regard to claim 10, Helland 357 discloses the lead being coupled to a pulse generator for cardiac pacing (e.g. Col. 3, line 48).

#### Conclusion

16. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to JOSEPH STOKLOSA whose telephone number is (571)272-1213. The examiner can normally be reached on Monday-Friday 7:30-4:00.

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If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Angela Sykes can be reached on 571-272-4955. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/George R Evanisko/ Primary Examiner, Art Unit 3762 Joseph Stoklosa Examiner Art Unit 3762

/Joseph Stoklosa/

Examiner, Art Unit 3762

3/11/2008